

SIPP

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SIPP transfer in form

Transferring customer instructions

This form is your authority to transfer benefits from another registered pension scheme into a SIPP with AJ Bell Youinvest.

If you don't already have an AJ Bell Youinvest SIPP please apply for one using our online application before completing this form.

Please use BLOCK CAPITALS only and blue or black ink, ticking boxes where appropriate. Once completed please return the form to us at the address below.

AJ Bell Youinvest
4 Exchange Quay
Salford Quays
Manchester
M5 3EE

If you would like a copy of this or any other item of our literature in large print, Braille or in audio format, please contact us on 0345 54 32 600 or by email at enquiry@youinvest.co.uk.

To be completed by the transferring customer

Full name of customer	<input type="text"/>		
SIPP account number	<input type="text"/>	Date of birth	<input type="text"/>
Address of customer	<input type="text"/>		
	<input type="text"/>		
Postcode	<input type="text"/>		
Full name of transferring scheme (where known)	<input type="text"/>		
Existing plan number or policy number under transferring scheme	<input type="text"/>		
Full name of administrator of transferring scheme	<input type="text"/>		
Address of administrator of transferring scheme	<input type="text"/>		
	<input type="text"/>		
Postcode	<input type="text"/>		

IMPORTANT NOTES

If your transfer to us includes any funds that are not clean funds, and a clean fund equivalent exists, we will convert these funds into their clean fund equivalent. If there is no clean fund equivalent we will hold the fund in its existing form, but will be entitled to convert it in future if a clean fund equivalent is created.

If you are in serious ill health and considering a transfer of a pension, there may be an Inheritance Tax liability if you don't survive the transfer by two years. You should take advice before transferring if you believe this could apply to you.

Request for transfer

I authorise and instruct you to transfer sums and assets from the plan(s) as listed in this form directly to the receiving provider and to provide any instructions and/or discharge required by any relevant third party to do so, in the form of

- a) A cash payment made by cheque, or such other method agreed with us or
- b) An in specie transfer of assets held on my behalf (after deduction of any outstanding liabilities or charges). Where appropriate we will advise details of the nominee/parties to whom assets must be transferred. I understand that the existing investment manager or administrator of the transferring scheme is responsible for collecting dividends and tax reclaims and realising all rights and entitlements in respect of the assets transferred and for passing them to the receiving scheme.

Where you have asked me to give you any original policy document(s) in return for the transfer of sums and assets and I am unable to do so, I promise that I will be responsible for any losses and/or expenses which are the result, and which a reasonable person would consider to be the probable result, of any untrue, misleading or inaccurate information deliberately or carelessly given by me, or on my behalf, either in this form or with respect to benefits from the plan(s).

I authorise you and AJ Bell Youinvest or AJ Bell Management Limited or Sippdeal Trustees Limited to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to the receiving scheme. I agree that a copy of this authority will have the validity of the original.

I authorise you and AJ Bell Youinvest or AJ Bell Management Limited or Sippdeal Trustees Limited and any employer paying contributions to any of the plan(s) as listed in this form to obtain from each other, and release to each other, any information that may be required to enable the transfer of sums and assets to the receiving scheme.

Until this application is accepted and complete, the receiving scheme administrator's responsibility is limited to the return of the total payments to the current plan.

Where the payment(s) made to the receiving scheme represent(s) all of the sums and assets under the plan(s) listed in this form, then payment made as instructed will mean that I shall no longer be entitled to receive pension or other benefits from the plan(s) listed.

Where the payment(s) made to the receiving scheme represent(s) part of the sums and assets under the plan(s) listed in this form, then payment made as instructed will mean that I shall no longer be entitled to receive pension or other benefits from that part of the plan(s) represented by the payment(s).

I promise to accept responsibility in respect of any claims, losses and expenses that AJ Bell Youinvest or AJ Bell Management Limited or Sippdeal Trustees Limited and the current provider may incur as a result of any incorrect information provided by me in this application or of any failure on my part to comply with any aspect of this application.

I request the scheme administrator of the receiving scheme to accept the transfer from the transferring scheme and to pay it into my SIPP. I confirm that the information provided relevant to my application to transfer benefits into my SIPP is correct and complete to the best of my knowledge and belief.

I confirm that I have not received any advice or recommendation in relation to the transfer from a representative of AJ Bell Youinvest, AJ Bell Management Limited or Sippdeal Trustees Limited.

I confirm that I am not knowingly in serious ill-health. If it is later found out that I was in serious ill health at the time of the transfer of the plan(s) listed in this form, I authorise AJ Bell Youinvest, AJ Bell Management Limited or Sippdeal Trustees Limited to pay death benefits into my estate.

Additional declaration for transfers of income drawdown benefits (if applicable)

I declare that all, or a part, of the transfer payment has been designated to provide income drawdown pension benefits for me under the transferring scheme. I understand that the transferring scheme is responsible for confirming the amount (if any) designated to provide these benefits.

I further understand that the amount of the transfer payment representing these benefits will be applied to provide me with income drawdown benefits under the receiving scheme and will be subject to the same maximum income limit (if any) as under the transferring scheme, until such time as a further review of this limit is triggered.

Customer's name	<input type="text"/>	Date	<input type="text"/>
Customer's signature	<input type="text"/>		

Transferring scheme instructions

If you require any further forms to be completed by the transferring customer please contact the customer directly. For a cash transfer, your cheque must be made payable to 'AJ Bell Youinvest SIPP re (insert the name of the transferring customer) e.g. AJ Bell Youinvest SIPP re John Smith' and sent to the address shown on the first page of this form.

For all transfers you must provide us with full details of the benefits being transferred. The information that you provide must confirm

- that the transferring scheme is a registered pension scheme under the Finance Act 2004
- the transferring scheme's HMRC Pension Scheme Tax Reference
- the total transfer value
- whether the transfer contains any crystallised benefits and, if so, full details of them
- whether you have reason to believe the transferring customer has flexibly accessed their pension rights (whether in the transferring scheme or otherwise) and, if so, specify the date you believe the rights were first accessed
- whether any of the transfer value derives from a beneficiary's drawdown fund and, if so, whether it is a dependant's, nominee's or successor's drawdown fund, and if it is a dependant's drawdown whether the funds are in capped drawdown (and full details) or flexi-access drawdown
- details of any transitional protection relating to the benefits of the transferring customer and, if relevant, whether the transfer is part of a block transfer
- whether the transfer value derives from a pension sharing order or provision or whether an earmarking order is in force in relation to the transferring benefits.

For information, the receiving scheme is a registered pension scheme under Finance Act 2004 (PSTR: 00605674RH). It is also an appropriate scheme (7001330A).

For all transfers you must also return this transfer authority form, (or a copy of it), to us at the address shown on the first page of this form.