

# Joint Dealing account application form

This form lets you – with another individual – apply for an AJ Bell Youinvest Dealing account in joint names.

If you'd like a copy of this, or any other item of our literature, in large print, Braille or in audio format, please contact us on 0345 54 32 600 or by email at [enquiry@youinvest.co.uk](mailto:enquiry@youinvest.co.uk).

## Section A

### Personal details

#### *First applicant*

If you're the first applicant, please complete the section below. It's important to note: the first applicant will be the nominated contact for the joint Dealing account, with the sole authority to place deals online. We'll communicate with the nominated contact by email or secure message.

Title	<input type="text" value="Dr / Mr / Mrs / Miss / Ms / Other"/>	Surname	<input type="text"/>
Forename(s)	<input type="text"/>		
Date of birth	<input type="text"/>	National Insurance number	<input type="text"/>
Permanent residential address	<input type="text"/>		
	<input type="text"/>		
Postcode	<input type="text"/>	Country	<input type="text"/>

**If you've been resident at this address for less than three years, please provide your previous address(es) on a separate sheet of paper and attach it to this application.**

Daytime telephone number	<input type="text"/>	Email address	<input type="text"/>
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(If phone number is non-UK, provide full dialling code)

Do you have an existing AJ Bell Youinvest account? Yes  No

If 'Yes', please provide the existing account number

**Were you born in the UK? And are you solely a UK citizen, solely a UK national and solely resident in the UK for tax purposes?** To tick Yes, all need to apply.

Yes  (If 'Yes', please continue to section C) No  (If 'No', please complete section B)

## Section B - Only complete if your country of birth, residency, nationality or citizenship is non-UK

Country of birth  Nationality

Please check the nationality table at the end of this form, and provide your relevant identification number. If there's more than one option for your nationality, please let us know which identification number you're providing us with in the box below.

Identification number  Type of number (if applicable)

If your nationality isn't listed in the table, please provide your national passport number.

Passport number

If you don't have your identification number or passport number, please contact us. For more information, please visit [youinvest.co.uk/nci](http://youinvest.co.uk/nci).

Country of citizenship

Second country of citizenship (if applicable)

Country of residence for tax purposes

Second country of residence for tax purposes (if applicable)

**Please list all your nationalities and countries of citizenship and tax residency. If you're a national of more than one country, please provide your further nationalities and identification numbers on a separate sheet and attach it to this application. If you're a citizen or tax resident of more than two countries, please list all details on a separate sheet of paper and attach it to this application.**

## Section C

### Second applicant

Title  Dr / Mr / Mrs / Miss / Ms / Other Surname

Forename(s)

Date of birth  National Insurance number

Permanent residential address

Postcode  Country

**If you've been resident at this address for less than three years, please provide your previous address(es) on a separate sheet of paper and attach it to this application.**

Daytime telephone number  Email address

(If phone number is non-UK, provide full dialling code)

Do you have an existing AJ Bell Youinvest account? Yes  No

If 'Yes', please provide the existing account number

**Were you born in the UK? And are you solely a UK citizen, solely a UK national and solely resident in the UK for tax purposes?** To tick Yes, all need to apply.

Yes  (If 'Yes', please continue to section E) No  (If 'No', please complete to section D)

## Section D - Only complete if your country of birth, residency, nationality or citizenship is non-UK

Country of birth  Nationality

Please check the nationality table at the end of this form, and provide your relevant identification number. If there's more than one option for your nationality, please let us know which identification number you're providing us with in the box below.

Identification number  Type of number (if applicable)

If your nationality isn't listed in the table, please provide your national passport number.

Passport number

If you don't have your identification number or passport number, please contact us. For more information, please visit [youinvest.co.uk/nci](http://youinvest.co.uk/nci).

Country of citizenship

Second country of citizenship (if applicable)

Country of residence for tax purposes

Second country of residence for tax purposes (if applicable)

**Please list all your nationalities and countries of citizenship and tax residency. If you're a national of more than one country, please provide your further nationalities and identification numbers on a separate sheet and attach it to this application. If you're a citizen or tax resident of more than two countries, please list all details on a separate sheet of paper and attach it to this application.**

## Section E

### Funding the Dealing account

Please confirm how you would like to fund your joint account, by ticking the appropriate box.

**Single payment**  
We've enclosed a cheque with this application form, made payable to 'AJ Bell Youinvest Dealing account re first applicant's name' (e.g. AJ Bell Youinvest Dealing account re John Smith).

The single payment is  £

**Regular payment**  
We've enclosed a Dealing account direct debit mandate with this application form.

The regular payment is  £ to be made monthly.

**Transfer from an existing Dealing account with another provider.**

The approximate value of this account is  £

Please make sure you enclose a completed Dealing account transfer form with this application.

## Nominated bank account

To make cash withdrawals from your Dealing account, please give us details of the bank or building society account where you'd like the money to be paid. It needs to be in the name of one, or both, applicants.

Account holder's name

Bank/building society account number

Sort code  -  -

## Section F

### Username and security question for your online account

#### **Create the username for the nominated contact**

The nominated contact's username must be at least 7 characters long, and can be anything you like.

Please enter your username in the box below. If the username is already in use, we'll suggest some alternatives for you.

Username

For your security, please ensure that you memorise the username.

#### **Create the security question for the nominated contact**

You also need to select a security question from the list below. At this stage, you don't need to provide an answer. When you log in for the first time, your account will be set up with a default answer that you'll need to change straight away.

You'll be asked this security question every time you subsequently log in.

Where were you born?

Who was your best friend at school?

What was the name of your first employer?

What was the name of your first pet?

If you want to discuss your account over the phone, we might also ask you the two questions below to verify your identity. Please tell us:

Your mother's maiden name

The name of your first school

## Declarations and applications (to be signed by both applicants)

Please read the following carefully before you sign.

We declare that:

1. We are aged 18 years or over and resident in the UK.
2. The declarations and information contained in this form are true, correct, complete and not misleading to the best of our knowledge and belief, and we undertake to notify AJ Bell Youinvest of any changes without delay.
3. We confirm that we have been recommended and given the opportunity to read, ensure that we understand and keep copies of the AJ Bell Youinvest Dealing account terms and conditions and charges and rates and we understand that the details contained in those documents and this application constitute the agreement between us and AJ Bell Youinvest.
4. All payments made, and to be made, to the AJ Bell Youinvest Dealing account belong to the applicant(s).
5. We confirm that we are not resident for tax purposes or a citizen of any country other than those we entered in our application.
6. We understand that you will use the information provided in this form to determine whether the applicant(s) are a reportable person under The International Tax Compliance (USA) Regulations 2013 and The International Compliance (Crown Dependencies & Gibraltar) Regulations 2014.

We confirm that you are authorised to:

- a) Make whatever checks are necessary to verify our identity, as required to comply with the Money Laundering Regulations.
- b) Provide information to the nominated contact shown above on behalf of both applicants, and to accept instructions given by the nominated contact as if they had been given by both applicants without the need to obtain any further authority or make any further enquiry.

### Data privacy statement

We'll use the information you provide to check your identity - and the identity of anyone else who funds an investment made in your name - with credit reference and fraud prevention agencies. These agencies will record our checks and will make that record available so others are able to verify your identity.

So we can thoroughly check the available data, we verify your identity using scoring methods. If you supply false or inaccurate information and we suspect fraud, we'll inform the fraud prevention agencies.

If we can't verify your identity by electronic means, we may ask you for additional information.

Depending how the data has been processed, and subject to some exemptions, you have a number of legal rights about your personal information. These include:

- To access personal information
- To rectify/erase personal information
- To restrict the processing of your personal information
- To transfer your personal information
- To object to the processing of personal information
- To object to how we use your personal information for direct marketing purposes
- To obtain a copy of personal information safeguards used for transfers outside your jurisdiction
- To lodge a complaint with your local supervisory authority

To exercise these rights (or request details of the credit reference and fraud prevention agencies from which we obtain information and record information about you), please contact us. For more information see our privacy policy.

## How we communicate with you

Being a DIY investor is all about staying up to date. The good news is: we can help you.

AJ Bell Youinvest would like to send you emails and other electronic communications to help you on your investing journey. Not only can you expect weekly market news and free articles from Shares magazine, but you'll be the first to hear about exclusive customer offers – including regular prize draws and invites to our popular investing events.

If you receive anything you don't like, don't worry: you can manage the type of communications you receive and unsubscribe at any time by updating your communications preferences in the 'my account area' of our website.

**We would like to receive communications including investment updates, weekly market news, exclusive customer offers and free content from Shares magazine.**

Yes  No

**This application must be signed and dated by both applicants.**

First applicant's name	<input type="text"/>	Date	<input type="text"/>
First applicant's signature	<input type="text"/>		
Second applicant's name	<input type="text"/>	Date	<input type="text"/>
Second applicant's signature	<input type="text"/>		

## Next steps

When this form is signed and complete, please return it to:

AJ Bell Youinvest  
4 Exchange Quay  
Salford Quays  
Manchester  
M5 3EE

## Nationality

<b>Belgium</b>	Belgian National Number (Numéro de registre national – Rijksregisternummer)	<b>Liechtenstein</b>	National Passport Number or National Identity Card Number
<b>Bulgaria</b>	Bulgarian Personal Number	<b>Lithuania</b>	Personal code (Asmens kodas)
<b>Croatia</b>	Personal Identification Number (OIB – Osobni identifikacijski broj)	<b>Malta</b>	National Identification Number or National Passport Number
<b>Cyprus</b>	National Passport Number	<b>Netherlands</b>	National Passport Number or National identity card number
<b>Czech Republic</b>	National identification number (Rodné číslo) or Passport Number	<b>Norway</b>	11 digit personal ID (Foedselsnummer)
<b>Denmark</b>	Personal identity code 10 digits alphanumerical: DDMMYYXXXX	<b>Poland</b>	National Identification Number (PESEL) or Tax Number (Numer identyfikacji podatkowej)
<b>Estonia</b>	Estonian Personal Identification Code (Isikukood)	<b>Portugal</b>	Tax number (número de identificação fiscal) or National Passport Number
<b>Finland</b>	Personal identity code	<b>Romania</b>	National Identification Number (Cod Numeric Personal) or National Passport Number
<b>Greece</b>	10 DSS digit investor share	<b>Slovenia</b>	Personal Identification Number (EMŠO: Enotna matična številka občana)
<b>Iceland</b>	Personal Identity Code (Kennitala)	<b>Slovakia</b>	Personal number (Rodné číslo) or National Passport Number
<b>Italy</b>	Fiscal code (Codice fiscal)	<b>Spain</b>	Tax identification number (Código de identificación fiscal)
<b>Latvia</b>	Personal code (Personas kods)	<b>Sweden</b>	Personal identity number

If you do not have the identification number required please contact us. If you are a national of more than one country please provide nationality and your identification number on a separate sheet and attach it to this application. For more information please visit [youinvest.co.uk/nci](http://youinvest.co.uk/nci).